



**CBDF - FACILITIES SERVICES SOLUTIONS**  
*List of questions and answers to be made available to investors online*

**Allspring (Lux) Worldwide Fund**

According to the Article 92 of the Directive 2009/65/EC of 13 July 2009, as amended by Directive (EU) 2019/1160 of 20 June 2019 (“Article 92 UCITSD”), Allspring (Lux) Worldwide Fund (the “Fund”) makes available, in each Member State where it intends to market its shares, facilities to perform the following tasks listed from a) to f).

Please note that in case of discrepancy, the conditions set out in the Sales Prospectus of the Fund shall prevail. The below has an informative purpose only and is provided pursuant to Article 92 UCITSD.

Defined terms used within this document, and which are not otherwise defined herein, shall have the same meaning as assigned within the Sales Prospectus of the Fund.

**a. Process subscription, switching and redemption orders and make other payments to Shareholders relating to the Shares of the Company, in accordance with the conditions set out in the Sales Prospectus and the Key Information Documents**

a1) WHICH ENTITY IS RESPONSIBLE FOR THE PROVISION OF SUCH SERVICE?

Allspring Global Investments Luxembourg S.A. (the “Management Company”) has appointed Brown Brothers Harriman (Luxembourg) S.C.A. as Registrar and Transfer Agent for processing subscription, switching and redemption orders of the Fund:

**Brown Brothers Harriman (Luxembourg) S.C.A.**

80 Route d’Esch

L-1470 Luxembourg

Luxembourg

E-mail: [AllspringTA@bbh.com](mailto:AllspringTA@bbh.com)

The Registrar and Transfer Agent will be responsible for handling the processing of Share subscriptions, dealing with redemption requests and conversions requests, accepting transfers of funds, the safekeeping of the register of the Fund, and providing and supervising the mailings of reports, notices and other documents to the Shareholders.

The Registrar and Transfer Agent is a Luxembourg société anonyme and is registered with the Regulatory Authority as a credit institution.

**b. Provide investors with information on how to place the orders referred to in point a. and how switching and redemption proceeds are paid**



### **b1) HOW CAN YOU SUBSCRIBE TO NEW SHARES IN THE COMPANY?**

You may subscribe to Shares of each Sub-Fund of the Fund at the Registrar and Transfer Agent as well as at other banks, sub-distributors and financial institutions authorised to that end as indicated in the subscription form.

You must complete an application form available at the above agents, banks and financial institutions.

Subscriptions are subject to acceptance by the Board of Directors in whole or in part in its sole discretion without liability.

The Fund may also accept subscriptions transmitted via electronic means.

For detailed information, please refer to the sub-section "Buying Shares" under the section "Investing in the Sub-Funds" in the Sales Prospectus.

### **b2) WHAT ARE THE FEES FOR SUBSCRIBING FOR NEW SHARES?**

The Subscription Price of the Shares in each Class, denominated in the reference currency of the Class, corresponds to the Net Asset Value ("NAV") of the relevant Class determined on the valuation day on which the subscription application is accepted (the subscription application shall be accepted on a particular valuation day only if received in proper form prior to the dealing deadline on that valuation day), increased by the applicable initial sales charge, if any, as detailed for each Class in the section "Fees and Expenses" in the Sales Prospectus.

In some cases, banks, sub-distributors, or financial institutions may charge and keep an initial sales charge or other transaction/account fees, which are not included in the Subscription Price.

In addition to the Subscription Price, taxes and stamp duties may need to be paid in certain countries where the Shares are offered.

You are invited to check with your chosen institution to understand any applicable fees and how they are applied. Additionally, under the Fund's partial swing pricing policy, a sub-fund's NAV may be adjusted on days with significant net subscriptions or redemptions.

For detailed information, please refer to the sub-section "Buying Shares" under the section "Investing in the Sub-Funds" in the Sales Prospectus.

### **b3) HOW CAN YOU REDEEM THE SHARES?**

You may at any time redeem your shares by addressing to the Registrar and Transfer Agent of the Fund or to other banks, sub-distributors and financial institutions authorised to that end, an application for redemption (in whole or in part).

The Fund may accept redemptions transmitted via electronic means.

Redemption requests are irrevocable, except as determined by the Management Company.



For detailed information, please refer to the sub-section “Selling Shares” under the section “Investing in the Sub-Funds” in the Sales Prospectus.

#### **b4) WHAT ARE THE FEES FOR REDEEMING YOUR SHARES?**

The Redemption Price of the Shares in each Class corresponds to the NAV of the relevant Class determined on the valuation day on which the application for redemption is accepted by the Registrar and Transfer Agent or the other banks, sub-distributors and financial institutions authorized to that end.

The Redemption Price may be higher or lower than the Subscription Price paid at the date of issue of the Shares in accordance with changes in a sub-fund’s NAV.

Redemption requests must be properly submitted to the Registrar and Transfer Agent or authorised intermediaries by the dealing deadline on a valuation day. Requests received after the deadline or on non-valuation days will be processed on the next valuation day.

Under the Fund’s partial swing pricing policy, a sub-fund’s NAV may be adjusted on valuation days with significant net subscriptions or redemptions.

No redemption or liquidity fee will be charged. However, the amount reimbursed may be reduced by costs, taxes and stamp duties which may be payable at the time.

For detailed information, please refer to the sub-section “Selling Shares” under the section “Investing in the Sub-Funds” in the Sales Prospectus.

#### **b5) HOW LONG DOES IT TAKE TO SELL SHARES AND RECEIVE PAYMENT?**

For all sub-funds except the Emerging Markets Equity Fund and the Emerging Markets Equity Advantage Fund, the Redemption Price of Shares presented for redemption will be paid as soon as reasonably practicable and normally within two business days of the valuation day at a Redemption Price per Share determined by reference to the NAV of the Sub-Fund on the relevant valuation day.

For the Emerging Markets Equity Fund and the Emerging Markets Equity Advantage Fund, the Redemption Price of Shares presented for redemption will be paid as soon as reasonably practicable and normally within three business days of the valuation date at a Redemption Price per Share determined by reference to the NAV of the Sub-Fund on the relevant valuation day.

For detailed information, please refer to the sub-section “Selling Shares” under the section “Investing in the Sub-Funds” in the Sales Prospectus.



**b6) WHO SHOULD YOU CONTACT IF YOU HAVE ADDITIONAL QUESTIONS IN RELATION THERETO?**

For additional questions regarding subscriptions and redemptions, please contact the Registrar and Transfer Agent of the Fund:

**Brown Brothers Harriman (Luxembourg) S.C.A.**

80 Route d'Esch

L-1470 Luxembourg

Luxembourg

E-mail: [AllspringTA@bbh.com](mailto:AllspringTA@bbh.com)

You may also contact the Management Company and Principal Distributor of the Fund:

**Allspring Global Investments Luxembourg S.A.**

33, rue de Gasperich

L-5826 Hesperange

Luxembourg

E-mail: [AllspringLuxembourg@allspringglobal.com](mailto:AllspringLuxembourg@allspringglobal.com)

**c. Facilitate the handling of information and access to the procedures and arrangements referred to in Article 15 of Directive 2009/65/EC relating to investors' exercise of their rights arising from their investment in the Company in the Member State where the Company is marketed**

**c1) WHAT ARE YOU INVESTING IN?**

You are investing in Allspring (Lux) Worldwide Fund, an investment fund incorporated with limited liability in the Grand Duchy of Luxembourg as a Société d'Investissement à Capital Variable under the RCS Luxembourg B 137.479 and qualifies as a UCITS fund within the meaning of Directive 2009/65/EC and is subject to Part I of the Luxembourg law of 17 December 2010.

The Fund has adopted an umbrella structure with multiple Sub-Funds.

Each Sub-Fund has distinct investment policies and strategies as detailed in the Sales Prospectus and in each Packaged Retail and Insurance-based Investment Product Key Information Document ("PRIIP KID") of the invested Shares.

More information can be accessed by reviewing the relevant financial statements of the Fund, as well as the specific factsheets.

Such documents are available on the Management Company website: [www.allspringglobal.com/](http://www.allspringglobal.com/)

**c2) WHAT ARE THE KEY RISKS OF THIS INVESTMENT?**

The key risks depend on the investment strategy of the Sub-Fund you have invested in.

Before any investment, you are urged to consult with your financial intermediary for a complete understanding of all the risks arising from your investments.



For a complete description of risks, please refer to the section “Risks” in the Sales Prospectus and in each PRIIP KID of the invested shares.

c3) WHAT ARE THE RISKS OF CAPITAL LOSS?

Before making an investment decision with respect to Shares of any Class, you should carefully consider all the information set out in the Sales Prospectus. The risks factors referred to there in may reduce the return on the Shares and could result in the loss of all or a proportion of your investment.

The price of Shares may fall as well as rise. You may not get back the full amount invested. You can lose money by investing in a Sub-Fund. The degree of risk varies depending on the investment strategy of the Sub-Fund in which you have invested.

All investments are subject to the risk that the net asset value (“the NAV”) of the Fund will fluctuate in response to changes in economic conditions, interest rates and the market’s perception. There can be no guarantee against loss resulting from an investment in any UCITS fund, nor can there be any assurance that the UCITS fund’s investment objectives will be attained.

For more information on the Shares in which you have invested in, please refer to the Sales Prospectus and to the PRIIP KID of the invested Shares.

c4) WHAT RETURN CAN YOU EXPECT?

You can consult the past performance of the Shares in which you have invested to have an indication of how they have performed since the inception date.

However, past performances are for illustrative purposes and are not indicative of future results. Returns will depend on the market conditions and the specific investment strategy of each Sub-Fund.

For further details, please refer to the Sales Prospectus and to the PRIIP KID of the invested Shares.

c5) HOW CAN YOU ACCESS THE PROCEDURES AND ARRANGEMENTS RELATING TO INVESTORS' EXERCISE OF THEIR RIGHTS ARISING FROM THEIR INVESTMENT IN THE COMPANY?

You will only be able to fully exercise rights as a Shareholder directly against the Fund, notably the right to participate in general meetings of Shareholders, if you are registered yourself and in your own name in the register of the Shareholders of the Fund.

In case you invest in the Fund through an intermediary investing into the Fund in the name of the intermediary, (i) it may not always be possible for you to exercise certain rights as a Shareholder directly against the Fund and (ii) your rights to compensation in the event of NAV errors/non-compliance with investment rules applicable to a Sub-Fund may be impacted and only exercisable indirectly.

For detailed information, please see the section “Shareholders' Rights” in the Sales Prospectus.

You can access in particular the Summary of Investor Rights, in English, at [www.allspringlobal.com/corporate/policies/lux-related-policies/](http://www.allspringlobal.com/corporate/policies/lux-related-policies/).



c6) HOW CAN YOU FILE A COMPLAINT IF NEEDED?

Complaints regarding the operation of the Fund may be submitted in writing to the registered office of the Fund or via email to [AllspringLuxembourg@allspringglobal.com](mailto:AllspringLuxembourg@allspringglobal.com).

You can access in particular the Complaints Handling Procedures, in English, at [www.allspringglobal.com/corporate/policies/lux-related-policies/](http://www.allspringglobal.com/corporate/policies/lux-related-policies/).

If you are not satisfied with our response, you may seek out-of-court resolution with the CSSF (free of charge): online form / email: [reclamation@cssf.lu](mailto:reclamation@cssf.lu) / post: CSSF, Département Juridique, 283 route d’Arlon, L-299. The complaint must be submitted together with all relevant documents in English, French, German, or Luxembourgish.

c7) IS YOUR INVESTMENT ETHICAL OR USEFUL FOR SOCIETY? (ESG criteria)

Allspring Global Investments (Allspring) integrates financially material sustainability risks and opportunities into its investment decision-making processes in order to enhance its ability to manage risk more comprehensively and generate sustainable, long-term returns for investors.

The Fund applies exclusions for companies involved in controversial weapons, tobacco, thermal coal, and other activities.

Traditional sub-funds of the umbrella consider ESG factors and integrate sustainability risks into investment decisions but do not prioritize them. This means the Sub-Investment Managers may still invest in issuers that do not follow ESG principles, and sustainability risks could affect the Sub-Fund’s value over the medium to long term. These investments do not consider the EU criteria for environmentally sustainable activities.

The Article 8 Sub-Funds integrate financially material sustainability risks using both internal and external ESG research. Sustainability risks may impact investment value over time. Some of these Sub-Funds use Allspring’s ESG Information Quotient (ESGiQ) — a proprietary system that assesses ESG risks and materiality. Details and ratings for ESGiQ are available on Allspring’s website.

For detailed ESG information, please see the section “Annex I - Sustainable Finance Disclosure and Taxonomy Regulations” in the Sales Prospectus.

c8) HOW WILL YOUR PROFITS BE TAXED?

Tax treatment depends on your jurisdiction of residence and your tax status. The Fund is not subject to taxation in Luxembourg on its income but may be subject to subscription tax.

You are invited to consult your own professional tax advisers regarding the tax implications of your investments.

For general tax information, please see the section “Taxation” in the Sales Prospectus.

**d. Make the information and documents required pursuant to Chapter IX of Directive 2009/65/EC available to investors under the conditions laid down in Article 94 of Directive 2009/65/EC, for the purposes of inspection and obtaining copies thereof**



d1) WHERE CAN YOU OBTAIN A COPY OF THE FUND DOCUMENTATION (Sales Prospectus, Key Information Documents (“PRIIPS KIDs”), monthly factsheets, financial reports, articles of incorporation, etc.)

Fund documentation including the Articles of Incorporation, the most recent Sales Prospectus, the most recent PRIIPs KIDs and the latest financial reports are available free of charge at the registered office of the Fund and on [www.allspringglobal.com/corporate/policies/lux-related-policies/](http://www.allspringglobal.com/corporate/policies/lux-related-policies/).

For further details, please refer to the section “Documents Available for Inspection” in the Sales Prospectus.

d2) WHERE CAN YOU OBTAIN THE NAV OF THE FUND AND THE HISTORICAL PERFORMANCE?

The NAV and historical performance information can be found on [www.fundinfo.com](http://www.fundinfo.com).

The NAV is calculated by the Administrator to at least two decimal places on each business day, generally as of the close of regular trading of the New York Stock Exchange (generally 4:00 p.m. U.S. Eastern Time). The Net Asset Value per Share shall be determined by dividing the net assets of the Fund, being the value of the assets of the Fund less the liabilities of the Fund, by the number of outstanding Shares of the Fund.

For detailed information on NAV calculation, please see the section “Determination of the Net Asset Value of Shares” in the Sales Prospectus.

**e. Provide investors with information relevant to the tasks that the facilities perform on a durable medium**

e1) WHO IS PERFORMING FACILITY TASKS?

The following entities are in charge of the following tasks. The contact details are disclosed under the section below “Who should you contact if you have additional questions?”

- a) Processing the subscription, switching and redemption orders and makes other payments to investors relating to the units of the Company, in accordance with the conditions set out in the Sales Prospectus and Key Information Documents:

The Management Company has appointed Brown Brothers Harriman (Luxembourg) S.C.A. to render the tasks set out under paragraph a) above:

**Brown Brothers Harriman (Luxembourg) S.C.A.**

80 Route d’Esch

L-1470 Luxembourg

Luxembourg

E-mail: [AllspringTA@bbh.com](mailto:AllspringTA@bbh.com)



- b) Providing investors with information on how orders referred to point a) above can be made and how switching and redemption proceeds are paid:

**Allspring Global Investments Luxembourg S.A.**

33, rue de Gasperich

L-5826 Hesperange

Luxembourg

E-mail: [AllspringLuxembourg@allspringglobal.com](mailto:AllspringLuxembourg@allspringglobal.com)

- c) Facilitate the handling of information the handling of information and access to procedures and arrangements referred to in Article 15 Directive 2009/65/EC relating to the investors' exercise of their rights arising from their investment in the UCITS in the Member State where the UCITS is marketed:

**Allspring Global Investments Luxembourg S.A.**

33, rue de Gasperich

L-5826 Hesperange

Luxembourg

E-mail: [AllspringLuxembourg@allspringglobal.com](mailto:AllspringLuxembourg@allspringglobal.com)

- d) Make the information and documents required pursuant to Chapter IX of Directive 2009/65/EC available to investors under the conditions laid down in Article 94 of Directive 2009/65/EC, for the purposes of inspection and obtaining copies thereof:

**Allspring Global Investments Luxembourg S.A.**

33, rue de Gasperich

L-5826 Hesperange

Luxembourg

E-mail: [AllspringLuxembourg@allspringglobal.com](mailto:AllspringLuxembourg@allspringglobal.com)

- e) Provide investors with information relevant to the tasks that the facilities perform in a durable medium:

**Allspring Global Investments Luxembourg S.A.**

33, rue de Gasperich

L-5826 Hesperange

Luxembourg

E-mail: [AllspringLuxembourg@allspringglobal.com](mailto:AllspringLuxembourg@allspringglobal.com)

- f) Act as a contact point for communicating with the competent authorities:

**Arendt Regulatory & Consulting S.A.**

41 Av. John F. Kennedy

L-2082 Luxembourg

Luxembourg

E-Mail: [DistributionARC@arendt.com](mailto:DistributionARC@arendt.com)



e2) WHO SHOULD YOU CONTACT IF YOU HAVE ADDITIONAL QUESTIONS?

If you have any further questions that are not listed on this webpage, feel free to contact the Management Company:

**Allspring Global Investments Luxembourg S.A.**

33, rue de Gasperich

L-5826 Hesperange

Luxembourg

E-mail: [AllspringLuxembourg@allspringglobal.com](mailto:AllspringLuxembourg@allspringglobal.com)

Or you may consult the Management Company's website: [www.allspringglobal.com](http://www.allspringglobal.com)

The processing of personal data is governed by Allspring's Privacy Notice, available online: <https://www.allspringglobal.com/corporate/privacy-notices/funds-privacy-notice/>.